

## Advanced seminar AML, FinSA, FinIA

<b>Frequency</b> At least once a year	<b>Format</b> Live-Stream (Webinar) with interactive space and participation tracking	<b>Duration</b> 4 hours
<b>Description</b>	<p>The seminar aims to develop and update knowledge and practices regarding regulatory requirements on:</p> <ul style="list-style-type: none"> <li>- Anti-Money Laundering (AML)</li> <li>- Rules of conduct according to FinSA and other specialized knowledge</li> <li>- FinIA</li> </ul> <p>Content is addressed based on regulatory changes, new jurisprudence, experiences of the SAM and key stakeholders - such as auditors - as well as FINMA's expectations. Updating regulatory knowledge allows concerned professionals to maintain their qualifications and fulfill required continuing education.</p>	
<b>Speakers</b>	Attorneys in law and legal experts (ASG partners and collaborators), other finance experts.	
<b>Learning outcomes</b>	By the end of the module, participants will: <ul style="list-style-type: none"> <li>- Know the latest regulatory changes and practices</li> <li>- Have feedback and recommendations from audit and supervisory actors</li> <li>- Be able to transfer their knowledge to their professional practice</li> </ul>	
<b>Content type</b>	<ul style="list-style-type: none"> <li>- Theoretical knowledge (regulatory and technical)</li> <li>- Practical knowledge (experience sharing, case study analysis, jurisprudence)</li> <li>- Interaction: quiz and live Q&amp;A session</li> </ul>	
<b>Target groups</b>	Any professional needing to maintain and update their knowledge in the covered subjects, particularly: qualified managers, wealth managers, compliance and/or risk officers, and other wealth management employees with basic knowledge.	
<b>Prerequisites</b>	No specific qualification required. Basic knowledge of the targeted regulatory framework. Good command of English.	
<b>Certificate/attestation</b>	A certificate of attendance is issued for validation purposes.	
<b>Language</b>	English	
<b>Program and Documentation</b>	A detailed program is published on the ASG website for each session. Presentation materials are provided to participants before the seminar.	
<b>SAM Credit points</b>	4 SAM Credit points	